

05-23-01

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Attorney Docket No.:M0925/7087 TJO

05/22/01  
jc962 U.S. PTO

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	<b>M0925/7087</b>
	First Named Inventor or Application Identifier	
	<b>Anne M. Mayes et al.</b>	
	Express Mail Label No.	<b>EL565161907US</b>
	Date of Deposit	<b>May 22, 2001</b>

jc973 U.S. PTO  
09/862916  
05/22/01

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	<b>ADDRESS</b> Box Patent Application <b>TO:</b> Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>  2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input type="checkbox"/> Statement filed in prior application, status still proper and desired.  3. <input checked="" type="checkbox"/> Specification 52 Total pages 43 pages description 1 pages abstract 8 pages claims                      60 Total claims  4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)    19 Total sheets <input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal    20 Total drawings  5. <input type="checkbox"/> Oath or Declaration    - Total pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <i>[Note Box 6 below]</i> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.  7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76	8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)  9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies  <b>ACCOMPANYING APPLICATION PARTS</b>  10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s)  11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney  12. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i>  13. <input type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  14. <input type="checkbox"/> Preliminary Amendment  15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>  16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>  17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)  18. <input checked="" type="checkbox"/> Other: This invention was made with government support under Contract Number DEFC07- 94ID13223 awarded by the U.S. Department of Energy and Grant Number NIH-5P30-ES02109 awarded by the National Institutes of Health. The government has certain rights in the invention.

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/284,447, filed on June 24, 1999, entitled **POLYMER ELECTROLYTE, INTERCALATION COMPOUNDS AND ELECTRODES FOR BATTERIES** which is a national stage of and claims priority to International Application No. PCT/US97/18839, filed October 10, 1997, which claims priority to U.S. Provisional Application No. 60/028,342, filed October 11, 1996; U.S. Provisional Application No. 60/028,341, filed October 11, 1996; U.S. Provisional Application No. 60/028,278, filed October 11, 1996; and U.S. Provisional Application No. 60/053,876, filed July 28, 1997.

☐ Cancel in this application claims \_\_\_\_\_ before calculating the filing fee.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

--This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_, filed on \_\_\_\_\_, entitled \_\_\_\_\_ and now \_\_\_\_\_.--

**Prior application information:** Examiner L. Weiner Group Art Unit: 1745

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

ATTORNEY'S NAME	Timothy J. Oyer, Reg. No. 36,628				
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## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Timothy J. Oyer, Reg. No. 36,628
SIGNATURE	
DATE	May 22, 2001

Inventor or Identifier: Anne M. Mayes et al.

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: POLYMER ELECTROLYTE, INTERCALATION  
COMPOUNDS AND ELECTRODES FOR BATTERIES☐ DUPLICATE

## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	60-20=	40 x	\$18	= \$ 720.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	6-3=	3 x	\$80	= \$ 240.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
				Total of above Calculations =	\$ 1,670.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	\$
				Assignment Recordation Fee (if any)	\$
				Other Fees (if any).	\$
				TOTAL =	\$ 1,670.00

1. A check in the amount of \$1,670.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

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 Attorneys of Record

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